

**INDIA TSUNAMI SOA APPEAL 2005
REVISED DOCUMENT
SEPTEMBER 2005**

Name of Agency: Caritas India

Contact Details: CBCI Centre
1 Ashok Place
Gole Dakkhana
New Delhi 110 001

Phone: ++91 11 23363390
Fax: ++91 11 23715146
Email: edms.caritasindia@spectranet.com

Contact Person: Rev. Father John I. Noronha, Executive Director
Rev. Father Varghese Mattamana, Assistant Executive Director
Mr. S. Nelson, Head of Environment and Disaster Management

Name of Project: India Tsunami SOA Appeal 2005

Location: India, Tamil Nadu, Pondicherry, Andhra Pradesh, Kerala, Andaman and Nicobar Islands

Appeal Total Budget:	INR 5,052,874,590	USD 117,508,711
January to August 2005	INR 481,277,771	USD 11,192,506
September to December 2005	INR 1,525,834,549	USD 35,484,524
Budget for 2006	INR 2,744,380,964	USD 63,822,813
Budget for 2007	INR 301,381,307	USD 7,008,868

Annex B Budget

India Tsunami SOA Revision

After the tsunami disaster of 26 December 2004 struck eastern India, a first phase of rescue and immediate relief took place. It was covered by immediate local responses of thousands of local Indian NGOs, including Caritas India's Diocesan Partner Societies, religious congregations and various Church affiliated agencies in India. The Diocesan Social Service Societies (DSSS) could to a great extent efficiently and quickly organize their relief work because years of animation, forming self help groups and community organization led to close contact and an intimate knowledge of most of the afflicted communities.

A response concept was evolved into three phases:

- Immediate Humanitarian Relief from the beginning and completed.
- Intermediate Resettlement Relief ongoing and completed.
- Livelihood Rehabilitation and permanent settlement.
- Community based disaster preparedness linked with community organisation.

The separation of the phases was theoretical as most issues are interlinked and not based on the phases. Certain aspects of livelihood and housing related activities to assure the resumption of independent life of the tsunami victims, have begun in the early months.

The realisations till August 2005

Identification and finalisation of Dioceses based on the assessment:

- TAMIL NADU
 1. CHENNAI
 2. CHENGALPATTU
 3. PONDYCHERRY
 4. SOUTH ARCOT
 5. THANJAVUR
 6. SIVAGANGAI
 7. TUTUCORIN
 8. NAGERCOIL – KOTTAR
 9. REGIONAL FORUM
- ANDHRA
 1. NELLORE
 2. GUNTUR
 3. VIJAYAVADA
 4. ELLURU
 5. SIRKAKULAM
 6. VIZAGAPATINAM
- KERALA
 1. QUILON
 2. ERNAKULAM SSS
 3. ALLEPPEY
 4. MALANKARA - TRIVANDRUM
 5. WS ERNAKULAM
 6. KOTTAPURAM
 7. TRIVANDRUM
 8. COCHIN
 9. REGIONAL FORUM
- ANDAMAN AND NICOBAR ISLANDS
 1. ASSOCIATION OF CATHOLIC ANDAMAN AND NICOBAR ISLANDS (ACANI)

India Tsunami SOA Revision

RESULTAS ACHIEVED for Support to No. of Families concerning RELIEF, FOOD AND BASIC NEEDS

REGION	TARGET	RESULTS
Tamil Nadu	34,500	75,000
Kerala	5,000	15,557
Andhra	5,000	12,438
Andaman	2,000	15,559
TOTAL	47,500	118,554

- **Shelter:** It was proposed that 12,000 families will have shelter on a temporary sufficient secure, enclosed and covered space to provide dignified accommodation, privacy, and protection from the weather, so that household activities can be satisfactorily undertaken and livelihood support services can be pursued as required. The space provided is in awareness of the recommended SPHERE Shelter and settlement standard 3: covered living space.

However we could provide only 3000 such shelters of which 700 are in Andaman islands. We also note that the temporary shelters could not meet SPHERE shelter standards. This is due to non availability of space/land and to Government guidelines. However we had taken efforts to improve the conditions of these shelters.

- **Non-Food items:** Provide essential kitchen utensils and adequate sleeping materials (ground sheets, bed sheets) and appropriate clothing (saris, lungis, towels) to ensure that up to 25,000 families are able to cook, eat, sleep and live normally.

We did not continue this in Tamil Nadu as there were plenty of organisations / groups who came into the area and distributed many of these items. Caritas India and its partners realised that any effort from our side will only be duplication. Hence in Tamil Nadu we did not distribute non food items as planned.

- **School materials:** Replace lost school materials for up to 20,000 school-aged children.

This was completed by providing school material, uniforms etc.

- **Health:** Provide adequate primary health care for affected population. **During the initial days of the disaster sufficient health camps were conducted and provided necessary medical assistance. However this was not a major need.**
- **Psycho-social care:** Provide qualified psychosocial assistance in dealing with the trauma associated with displacement and impact of conflict at a personal level by training 200 persons from the States of Tamil Nadu, Andhra Pradesh and Kerala for a duration of five days.

- **Catholic hospital association and another organisation called Sarthak are facilitating psycho social trainings**

- **Livelihood:** Provide income for up to 2,500 fishermen and 5,000 fish processing women, 2,000 farmers and 10,500 casual laborers by involving the afflicted village population able and willing to return in debris clearing, repair work on boats, engines and nets, while studying longer term assistance in rebuilding livelihoods through the provision of boats, nets, other fisherman equipment or other basic livelihood tools for non-fishermen.
- **Equipment:** Provide necessary equipment in office hard and software, communications equipment and nine four wheel drive vehicles for implementing partner organizations to face the increased need.
- **Capacity Building:** Provide support to implementing partners by offering personnel, enable the hiring of required personnel at field level, and increase the capacity for disaster preparedness by strengthening the Disaster Management Unit of Caritas India at the national and regional level and provide relevant training

India Tsunami SOA Revision

- **Disaster Preparedness and Mitigation:** Promote Community Based Disaster Preparedness in the coastal areas and plant up to 1,300,000 mangroves and cashews to reduce the damaging effect of tidal waves.
 - **The disaster preparedness and mitigation is being taken up at Andhra and Kerala and we shall take some more time to systematically introduced disaster preparedness in to the SOA.**
- **Advocacy:** Use all available channels to bring pressure to bear on all concerned parties to deal with abuse such as orphan trafficking, caste discrimination or inappropriate decisions regarding relocation of tsunami victims.
- **Coordination:** Interface and share with all actors involved at all levels to streamline action and avoid duplication.

Results to be realized

Livelihood Non Fishing

- 2359 Supply of Boats, Vallams etc.
- 1853 Supply of Catamarans
- 2814 Supply of Engines
- 17,418 Supply of Fishing Nets (Sets)
- 1284 Supply of fishing gears(sets)
- 217 Repair of Engines

Livelihood Non Fishing

- 6926 nos of IGP-petty shops, trades, petty shops, milch animals etc.
- 500 units of Land Reclamation
- 500 units supply Agriculture Inputs

CONSTRUCTION

- 3000 Building Temporary Shelters
- 17000 Building Permanent Shelters
- 3000 Improvement temporary shelter
- 1005 Repair of permanent shelter
- Necessary Infrastructure-shelter related
- Required Village infrastructure-community centre

COMMUNITY MOBILISATION

- 1500 units of Formation and strengthening of SHGs
- 1000 units for Revolving Fund
- At least 5 formation of Fisherman Federation-Fishermen bank etc.
- Necessary Capacity building programs-CBOs and staff
- Suitable Field Office- renovation, maintenance, rent; Community centre

VOCATIONAL TRAININGS

- 1843 units of Vocational and skill training

EDUCATION

- 1050 units of Coaching Classes for children
- 6000 units of Teaching/learning aids

India Tsunami SOA Revision

BUDGET COMPARISON

	ORIGINAL BUDGET	REVISED	decrease	increase
Relief	261,302,125	167,221,717	94,080,408	
Livelihood	368,000,000	704,445,144		336,445,144
Livelihood Non Fishing	0	122,307,250		122,307,250
CONSTRUCTION	2,461,050,000	3,450,912,345		989,862,345
COMMUNITY MOBILISATION	-	151,917,678		151,917,678
VOCATIONAL TRAININGS	-	65,444,550		65,444,550
EDUCATION	-	25,047,000		25,047,000
Psychosocial	2,271,500	25,547,052		23,275,552
Environment/ CBDP	28,200,000	113,328,900		85,128,900
Programme support	1,594,000	56,186,692		54,592,692
Administration	232,012,859	170,504,242	61,508,617	
WATER SANITATION	104,787,500	-	104,787,500	
Grand TOTAL	3,459,217,984	5,052,862,570	260,376,525	1,854,021,111
Difference		1,593,644,586		1,593,644,586

REASONING

- **ONLY 64% OF THE RELIEF BUDGET WAS SPENT AS THERE WAS PLENTY OF RELIEF BY MANY ORGANISATION.**
- **THE BUDGET OF LIVELIHOOD IS INCREASED BY 48% AS PER THE NEED ASSESMENT AND REQUIREMENT OF THE COMMUNITY. A CAUTION HERE IS THE EXPENDITURE UNDER THIS LINE ITEM MAY COME DOWN AS SUPPLY OF CRAFTS STILL HAPPENS BY OTHER NGOS.**
- **LIVELIHOOD NON FISHING INCLUDING LAND RECLAMATION IS A NEW LINE ITEM SO AS TO CATER TO THE NEEDS OF OTHERS THOSE WHO LOST LIVELIHOOD AN LAND.**
- **CONSTRUCTION COST HAS BEEN INCREASED DUE TO THE INCREASE IN THE UNIT COST. THE CONSTRUCTION COST INCREASE IS BASICALLY BECAUSE THE LAND IN MOST CASES REQUIRES TO BE PREPARED AND ALSO DUE TO THE INCREASE IN THE COST OF THE BUILDING MATERIALS.**
- **VOCATIONAL TRAININGS AND EDUCATION ARE NEW LINE ITEMS WHICH ARE INCLUDED TO SUPPORT THE TSUNAMI AFFECTED COMMUNITY TO BE TRAINED IN VOCATIONAL SKILLS AND ALSO TO SUPPORT CHILDREN GOING TO SCHOOL.**
- **PSYCHOSOCIAL WAS NOT REALISTICALLY BUDGETED IN THE ORIGINAL BUDGET AND HENCE THE INCREASE.**
- **ADMINISTRATION: IN THE ORIGINAL BUDGET THE ADMINISTRATION WAS CALCULATED AT 7% AND NOW IT IS REWORKED BASED ON ACTUAL EXPENSES PROJECTED PLUS 2% FOR CARITAS INDIA GENERAL EXPENSES.**

TIME SCALE

This programme will run for 36 months from 1 January 2005 to 31st December 2007. The short term immediate humanitarian phase such as the supply of food and non-food items and immediate short term activities have been completed, while the livelihood rehabilitation and reconstruction is calculated for 36 months from 1st January 2005 to 31st December 2007.

India Tsunami SOA Revision

ASSUMPTIONS AND RISKS

- Any major disaster during the course of the project which may delay the programme or create any new situation.
- Communitarian tensions in the affected communities will not impede the activities.
- Local politics will not succeed in co-opting the relief activities.

SPHERE STANDARDS

Caritas India and CRS are both pilot agencies of the SPHERE project. The indicators stated in the SOA and the logical framework are taken from the SPHERE book. Caritas will review these indicators in the light of local norms in South India and determine what is appropriate.

CODE OF CONDUCT

Caritas will work to comply with the Red Cross and NGO code of conduct. In particular they will strive to ensure that the humanitarian imperative comes first and that aid is given regardless of caste, race, creed or nationality of the recipients - based on need alone.

I. MONITORING, REPORTING & EVALUATIONS

REPORTING SCHEDULE

- Monthly report with financial updates and progress indicators
- Quarterly monitoring mission reports.
- Quarterly report of the finance consultant appointed by Caritas India for Tsunami programme.
- Six monthly narrative report submitted by June 2005.
- Annual report by December 05 /January2006
- The final narrative and interim report will be made within one month of closure of the appeal 31 December 2007

ACCOMPANIMENT AND MONITORING

- Caritas Germany has been mandated by Caritas Internationalis to be the Facilitating partner and Mr. Gerhard Meier is representing Caritas Germany as team leader and placed in Chennai.
- The sub facilitating member organisation have nominated their representatives as sectors specialists. A shelter specialist from Caritas Austria and a livelihood specialist from Caritas Italiana are in Chennai for the network from February 05 onwards.
- Communication experts are available for the network.
- As part of the implementation process for livelihood we have established a full fledged livelihood team with experts and the following quality instruments are available:
 - Village level assessment format
 - Livelihood guidelines
 - Livelihood policy document
 - Livelihood monitoring sheet
 - A specific training for the Livelihood point persons were conducted and to be followed.
 - The livelihood team visits regularly to the dioceses and give them necessary orientation and assistance

India Tsunami SOA Revision

- For Shelter a three member shelter team is available and further specialists are being recruited. The following quality standards are assured:
 - Shelter policy and guidelines are worked out and in place
 - Quality construction measures are followed in terms of proper identification of project management consultants, quality contractors and tendering process.
 - Construction contract sample documents were prepared and given to all the partners
 - The structural specifications are verified and approved before launching
 - The site plan will be looked from the sphere standards and the shelter team gives appropriate approval

Organisational Management

- To facilitate the process, a new Tsunami office is set-up in Chennai with a full time Manager
- For each diocese a programme support officer is appointed to facilitate the dioceses in implementing process
- At Chennai Tsunami office, sufficient programme support officers are appointed to facilitate capacity building, documentation, psycho social and administration
- A finance manager will be finalised during this month and will be based in Chennai to facilitate finance management
- A finance manager will be placed in EDMS dept apart from the finance dept to strengthen and facilitate reporting.
- For transparent accounting and financial management system a highly qualified finance consultant is appointed and he audits the auditors of the dioceses.

EXTERNAL EVALUATION

- **FIRST LEVEL EVALUATION BY END SEPT 05. THE REPORT SHOULD BE AVAILABLE FOR CIRCULATION BY OCTOBER 05**
- **MID- TERM EVALUATION BY END JULY 06**
- **FINAL EVALUATION BY END FEB 2008**

AUDIT

- **THE DIOCESE WILL SUBMIT QUARTERLY AUDIT REPORT. (WILL BE AVAILBALE ON REQUEST FOR ANY SPECIFIC DIOCESE**
- **CARITAS INDIA WILL MAKE ANNUAL AUDIT REPORT FOR CIRCULATION**
- **THERE IS A PROPOSAL FOR FINAL THIRD PARTY AUDIT. CARITAS INDIA AND CARITAS GERMANY WILL COME OUT WITH CLEAR TOR FOR THAT.**

BUDGET

- A revised budget is enclosed for three year period for all the dioceses, regional fora and Caritas India programme expenses.
- A document on earmarking is also attached

Nelson
Caritas India
New Delhi, 12 September 2005

Peter Seidel
Caritas Germanu